

**Title: Review of Study Completion** 

SOP 13/V1
Effective from
September 2019
Valid till
September 2022

#### 13.1. Purpose

The purpose of this Standard Operating Procedure (SOP) is to provide instructions on the review of Study Completion Report submitted for studies approved by the Institutional Ethics Committee (IEC).

#### **13.2.** Scope

This SOP applies to the review of the Study Completion Report which is a written report of every completed study submitted by the Principal Investigator (PI).

#### 13.3. Responsibility

It is the responsibility of the IEC Chairperson/ Member Secretary/ Member/s to review the study report and act on it.

#### 13.4. Detailed instructions

## 13.4.1. Receipt of Study Completion Report

- The Secretariat will receive 1 copy (soft and hard) of Study Completion Report filled as per the format (AX no.) from the PI. The study completion report is expected from the investigator within 1 month of completion of the study at the site.
- The Secretariat will follow instructions (Management of Protocol Submission) for receiving and checking the report package.
- It is the responsibility of the IEC Secretariat to review the report for completeness.
- The Secretariat shall verify the submitted Study Completion Report along with Study Completion Report Form and forward it to the Member Secretary within 7 working days of receipt.
- The Member Secretary will review the Study Completion Report, confirm that it is complete and present it at the next full board meeting.
- If there is a need felt (e.g. a deviation/ violation is noted), the Member Secretary will handle it.
- The Secretariat shall include the Study Completion Report Form in the agenda for IEC members for discussion at the full board meeting.



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#### 13.4.2. During the Board meeting

- The Member Secretary will present the report and members can discuss as needed.
- Following the discussion, the Chairperson may take one of the following decision:
  - a) Noted and accepted
  - b) Request for additional information / clarification
- The Secretariat will note the decision in the meeting minutes
- The Member Secretary will draft a letter to the PI conveying decision on the study completion report.
- The study shall be considered as closed if the decision by IEC is "Noted and accepted".
- The Secretariat will accept and file the Report and get the Study Completion Report Form (AX no.) signed by the Chairperson.
- The final report will be placed in the master file and kept in the archival area.
- The Administrative Officer will archive the entire study for a period of 5 years from the date of completion of the project if the decision is noted and closed.

#### 13.5. Reference to other applicable SOPs

- SOP 06/V1 Management of Research Study Protocol and Study Related Documents Submitted for Ethics Review
- SOP 08/V1 Agenda Preparation, Meeting Procedures and Recording of Minutes
- SOP11/V3 Review of Protocol Deviations / Violations

## **13.6 Annexure 1:** - *AX 01/SOP 13/V1* Study Completion Report form



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# Annexure 1: AX 1/SOP 13/V1 Study Completion Report Form

(Filled by principal Investigator)

IEC Project No.		
Project Title:		
Principal Investigator		
Department		
Total no. of study participants recruited		
Total no. of study participants approved by the IEC		
for recruitment		
Duration of the study		
*Results (summary) with Conclusion: (use extra blank paper, if more space is required).		
*Note: If the final report is not available from spons	sor, it may be submitted later to the IEC	
once it is ready.		
Number of SAEs at our centre:		
Whether all SAEs intimated to the IEC	Yes No	
No. of patients withdrawn:		
Reasons for Withdrawal of Patients:		
Signature of Principal Investigator:	Date :	



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Action taken:		
□ □ Noted and accepted		
□ □ Requires more information/ action as follows:		
IEC Meeting date(If reviewed in the meeting)		
Final Decision:		
Signature of Member Secretary with date:		



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# 7. Flow chart

No.	Activity	Responsibility
1	Receipt of the study completion report	IEC Secretariat
2	Checking the contents of the report packages and	IEC Secretariat
	assess adequacy of contents	
3	Verification of the study completion report,	IEC Secretariat
	preparation of the study completion statement and	
	sending them to the Member Secretary	
4	Review of the Study completion report for	Member-Secretary
	completeness and informing members at full-board	
	meeting	
5	Inclusion of the report/ review at full-board meeting	IEC Secretariat
6	Discussion and decision at the full board meeting	Chairperson
7	Noting the decision in the minutes of the Meeting	IEC Secretariat
8	Conveying decision to the Principal Investigator	IEC Secretariat
9	Archiving all the study-related documents along	Administrative Officer
	with the Study completion report	